# BakerHostetler

Baker&Hostetler LLP

811 Main Street Suite 1100 Houston, TX 77002-6111

T 713.751.1600 F 713.751.1717 www.bakerlaw.com

Dean D. Hunt direct dial: 713.646.1346 dhunt@bakerlaw.com

August 26, 2016

### VIA EMAIL AND CM-RRR # 7014 2870 0000 5153 5084

Helen Davis Chaitman (hchaitman@chaitmanllp.com) Chaitman, LLP 465 Park Avenue New York, New York 10022

#### VIA EMAIL ONLY

Jennifer Allim (jallim@chaitmanllp.com)

Re: Adv. Pro. No. 10-04818 (SMB); Irving H. Picard, Trustee for the liquidation of Bernard L. Madoff Investment Securities LLC v. Toby Harwood; In the United States Bankruptcy Court for the Southern District of New York.

#### Dear Counsel:

Enclosed please find a Notice of Deposition of Ms. Harwood, in the above referenced adversary proceeding. We previously requested dates for deposition on June 29, 2016, and followed up on July 29, August 2 and August 18, 2016. As we have not yet received dates, we have noticed the deposition to occur at the offices of Baker Hostetler LLP, 45 Rockefeller Plaza, New York, NY 10111, on Thursday, October 20, 2016. If Ms. Harwood is not available on this date, please provide an alternate date on which she is available and I will re-notice the deposition for that date. Otherwise, we will proceed on the date as noticed. Please produce the documents identified in Defendant's June 1, 2016 responses to written discovery at least fourteen days in advance of the deposition.

If you have questions, please feel free to contact me or my colleagues, Marie Carlisle or Rachel M. Smith at (713) 751-1600.

Sincerely,

Dean D. Hunt

Of Baker & Hostetler, LLP

Enclosures

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#### Baker & Hostetler LLP

45 Rockefeller Plaza

New York, NY 10111

Telephone: (212) 589-4200 Facsimile: (212) 589-4201

David J. Sheehan

Email: dsheehan@bakerlaw.com

Nicholas J. Cremona

Email: ncremona@bakerlaw.com

Dean D. Hunt

Email: dhunt@bakerlaw.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

Plaintill-Applicant

BERNARD L. MADOFF INVESTMENT

Defendant.

In re:

BERNARD L. MADOFF,

SECURITIES LLC,

v.

Debtor.

IRVING H. PICARD, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and Bernard L. Madoff,

Plaintiff,

v.

TOBY HARWOOD,

Defendants.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-04818 (SMB)

NOTICE OF DEPOSITION OF DEFENDANT TOBY HARWOOD

To: Helen Davis Chaitman

Chaitman LLP

465 Park Avenue

New York, NY 10022

PLEASE TAKE NOTICE, that pursuant to Rule 30 of the Federal Rules of Civil

Procedure and Rule 7030 of the Federal Rules of Bankruptcy Procedure, Irving H. Picard (the

"Trustee"), as trustee for the liquidation of the business of Bernard L. Madoff Investment

Securities LLC ("BLMIS"), under the Securities Investor Protection Act, 15 U.S.C. §§ 78aaa, et

seq. ("SIPA") and Bernard L. Madoff ("Madoff"), by and through his counsel Baker & Hostetler

LLP, will take the deposition, upon oral examination, of Toby Harwood in Adversary

Proceeding Number 10-05079, before a notary public or other person authorized to administer

oaths on Thursday, October 20, 2016 at 10:00AM Eastern Standard Time at the offices of

Baker Hostetler LLP, 45 Rockefeller Plaza, New York, NY 10111, and subject to videotaping at

Trustee's option.

The deposition will continue from day to day as necessary. You are invited to attend and

cross-examine.

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08-01789-cgm Doc 14113-4 Filed 09/19/16 Entered 09/19/16 19:54:16 Exhibit Ex D - Harwood Email Serving Notice of Deposition Pg 4 of 4

Dated: August 26, 2016

Of Counsel:

Dean D. Hunt Farrell A. Hochmuth BAKER & HOSTETLER LLP 811 Main, Suite 1100 Houston, Texas 77002 Telephone: 713.751.1600

Fax: 713.751.1717

/s/ Nicholas J. Cremona

David J. Sheehan
Nicholas J. Cremona
BAKER & HOSTETLER LLP
45 Rockefeller Plaza
New York, New York 10111

Telephone: 212.589.4200

Fax: 212.589.4201

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff

## **CERTIFICATE OF SERVICE**

I hereby certify that a true and accurate copy of the foregoing was served this 26th day of August, 2016 by certified mail and electronic mail upon the following:

Helen Davis Chaitman
Jennifer Allim
Chaitman LLP
465 Park Avenue
New York, NY 10022
hchaitman@chaitmanllp.com
jallim@chaitmanllp.com

Attorneys for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and

the Estate of Bernard L. Madoff